

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number **10/091,373**

Filing Date **March 4, 2002**

First Named Inventor **Hiroshi ITO et al.**

Art Unit **1752**

Examiner Name **Amanda C. WALKE**

Attorney Docket  
Number **ARC920010125US1**

Mail Stop

**AF**

**FEB 27 2006**

## ENCLOSURES (Check all that apply)

☒ **No fee due**

☐ Fee Transmittal

☐ Fee(s) due: \$ \_\_\_\_\_

☐ Check enclosed

☐ Charge Deposit Account  
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☐ 37 CFR§ § 1.16

☐ 37 CFR§ § 1.17

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☒ Amendment/Response

☐ After Final

☐ Affidavits/declaration(s) -  
\_\_\_\_ Affidavits/declaration(s)

☐ \_\_\_\_Month Extension of Time

☐ Terminal Disclaimer

☒ **Information Disclosure  
Statement & PTO-1449  
Form(s)**

☒ **Copies of Cited  
References (AV-AY)**

☐ Response to Missing Parts /  
Incomplete Application

☐ Declaration(s) by  
Inventor(s)

☐ Preliminary Amendment

☐ Updated Application Data  
Sheet

☐ Drawing(s) - \_\_\_\_ Sheets

☐ Compact Disk(s) - \_\_\_\_ CD(s)

☐ Petition

☐ Power of Attorney & Address  
Indication Form

☐ Revocation of & New Power of Attorney,  
Address Indication Form

☐ Request for Refund

☐ After Allowance Communication to a  
Technology Center (TC)

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to TC (Appeal  
Notice, Brief, Reply Brief)

☐ Other Enclosure(s) (see remarks):

### Claim Count

Total Claims

- 20 =

Independent  
Claims

- 3 =

Extra  
Claims  
New  
Claim  
No.

## REMARKS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name (print/type)

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Signature

*David A. Sadewasser*

Date

**February 24, 2006**

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Name (print/type)

**Joe Clark**

Signature

*Joe Clark*

Date

**February 24, 2006**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:  
Hiroshi ITO

Confirmation No.: 7246

Serial No.: 10/091,373

Group Art Unit: 1752

Filing Date: March 4, 2002

Examiner: Amanda C. Walke

Title: COPOLYMER FOR USE IN CHEMICAL AMPLIFICATION RESISTS



**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.97(d) AND**  
**CERTIFICATION UNDER 37 CFR § 1.97(e)**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a Supplemental Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

The references listed below were cited in a Japanese Patent Office Search Report dated December 6, 2005, for the Japanese application corresponding to the above-identified U.S. patent application. A list of references cited by the Japanese Patent Office Examiner is also included.

A PTO-1449 form listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Supplemental Information Disclosure Statement is not intended as a representation that additional information material to the examination of this application does not exist or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

Pursuant to 37 CFR § 1.97(e), the undersigned hereby certifies that each item of information contained herein was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Pursuant to 37 CFR § 1.97(d)(2) and as set forth in 37 CFR § 1.17(p), a check to cover the required fee for the delayed filing of this Second Supplemental Information Disclosure Statement is submitted herewith. The Commissioner is hereby authorized to charge any additional fee(s) under 37 CFR §§ 1.16, 1.17, and 1.21 which may be required by this submission, or to credit any overpayment, to Deposit Account No. 18-0580.

Respectfully submitted,

By: David A. Sadewasser  
David A. Sadewasser  
Registration No. 55,587

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The PTO did not receive the following listed item(s) <u>check is</u> <u>amount of \$180</u>
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Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)			Application Number	10/091,373	
			Filing Date	March 4, 2002	
			First Named Inventor	Hiroshi ITO	
			Art Unit	1752	
			Examiner Name	Amanda C. Walke	
Sheet	1	of	1	Attorney Docket Number	ARC920010125US1

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Document Number	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document No.	Publication Date	Country	Class	Subclass	T
	AV	JP 2001-154362	6/8/01	Japan			X
	AW	JP 2003-212915	7/30/03	Japan			X
	AX	JP 2003-040926	2/13/03	Japan			X
	AY	WO 02/069038	9/6/02	PCT			

OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

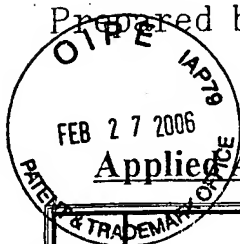
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\*\* Information Materials for IDS \*\*\*

To ARC IP Law Docket Number ARC9-2001-0125 Date Jan 15 / 06

Prepared by Yasuhiro NOGUCHI Date of JPO Office Action Dec / 6 / '05



**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	PUPA 2001-154362	06/08/01	Y	1-3, 7, 9, 15, 17-21, 23-24, 29-31
B	PUPA 2003-212915	07/30/03	Y	1-3, 7, 9, 15, 17-24, 29-31
C	PUPA 2003-040926	02/13/03	Y	1-3, 13-15, 17-24
D	PUPA 2005-508013	03/24/05	Y	1, 3, 7, 9, 13-14, 16-24, 29
E				
F				

<NOTE>

to be continued ☐

- ◇ PUPA : Published Unexamined Patent Application      ◇ PEPA : Published Examined Patent Application
- ◇ PUUMA : Published Unexamined Utility Model Application      ◇ PEUMA : Published Examined Utility Model Application
- ◇ JP : Japanese Patent      ◇ \* : Reference being filed before and published after the priority application date of the subject docket

**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA		bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued ☐